



1742
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Naoyuki Kobayashi, et al.

Group Art Unit: 1742

Examiner: Leader, William T.

Serial No.: 09/787,021

Filed: April 18, 2001

For: METHOD FOR FORMING A LUBRICATIVE FILM FOR
COLD WORKING

Attorney Docket No.: HSTI0112PUSA

**INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(1)**

Mail Stop Amendment
Commissioner for Patents
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Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., before the mailing of a notice of allowance.

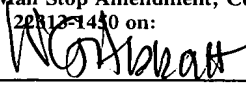
Each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application (copy of communication enclosed) not more than three months prior to the filing of this Statement.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

February 1, 2005
Date of Deposit

William G. Abbatt
Name of Person Signing


Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

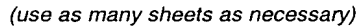
Respectfully submitted,

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Filing Date	April 18, 2001
First Named Inventor	Naoyuki Kobayashi, et al.
Group Art Unit	1742
Examiner Name	William T. Leader
Attorney Docket Number	HSTI0112PUSA

Sheet	1	of	2	Attorney Docket Number	HSTI0112PUSA
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		EP	0 288 853	A	Nihon Parkerizing	11-02-1988		
		WO	92/17628	A	Henkel KGAA	10-15-1992		
		WO	94/08074	A	Henkel KGAA	04-14-1994		
		EP	0 972 862	A	Nihon Parkerizing	01-19-2000		
		WO	98/09006	A	Bjerrum Niels	01-19-2000		
		FR	2 262 134	A	Parker Ste Cont.	09-19-1975		
		SU	1 090 761	A	MO I Vechernij	05-07-1984		
		WO	92/21737	A	Henkel Corp.	12-10-1992		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

